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DISCLOSURES UNDER RTI ACT - East Coast Railway Zone

1. Maintenance Manual For Wagons 2. Maintenance Manual For Broad Gauge Coaches ICF Design 3. Operation & Maintenance Manual For 140T Gotwald Crane 4. White Manual On Diesel Locomotive 5. Accident Manual 6. General Rule 7. IRCA Pt-III For Goods 8. IRCA Pt-IV For Coaching. 9. Mechanical Code 10. Drawings Of Diesel, Carriage & Wagons Components. 11. Apr 1th, 2024

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An Analysis Of The Gain Or Loss Recognised In The Statement Of Profit Or Loss
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Cost, Showing Separately Gains And Losses Arising From Derecognition Of Those
Financial Assets; And – The Reasons For Derecognising Those Financial Assets.
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Perspective On Financial Instrument Risk Disclosures Under ...

This Report Outlines Recommendations For Improving Financial Instrument Risk Disclo-sures. If Implemented, These Recommendations Would Result In Financial Instrument Risk Disclosures That Are More Informative And Easier For Investors To Process For Purposes Of Securities Valuation A Apr 22th, 2024

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MiFID II Product Governance - Proportionality

Eligible Counterparty, Professional Investor, Or Retail Investor. Principle 2: Adopt A Purposive Implementation Approach . 2.1 . The MiFID II Product Governance Rules Are High Level And Non-prescriptive As Regards How They . Apply To Different Products, Services And/or Client Types. It Is Therefore Up To The Firms To Determine How To Comply With The Rules In A Way That Is Appropriate and ... May

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Case, Depends Particularly On The Nature Of The Client (i.e. Whether Retail Or Professional) And On The Type Of MiFID Financial Instrument That Is Involved In The Transaction Envisaged. 6. In Terms Of The Type Of Instrument Or Financial Product, The Way In Which The Appropriateness Requirements Apply Differs According To Whether The Instrument/product Is Deemed —non-complex || Or —complex ... May 8th, 2024

WWF Response To ESMA Consultation On MiFID II 1: Do You ...

Particular ESG Classification Standard(s) In Order To Have The Best Possible Harmonisation. WWF Is Concerned That The ESMA Consultation Paper Uses Different Terminologies For ESG (e.g. ESG Considerations/ Preferences/ Characteristics/ Etc.) That Can Be Very Confusing For Investment Firms. Jan 17th, 2024

MiFID II Transaction Reporting: Detecting And ...

OTC Details With The Increase In Reportable Products, The Number Of Fields

Needed To Identify An OTC Derivative Has Expanded. Also An ETD That Is Traded Outside The EEA Needs To Be Identified With Details Similar To An OTC (which May Cause Additional Problems). Data Reporting Service Providers/ Selection Of ARM's APA's May 21th, 2024

ESMA Final Report On MiFID II Product Governance Requirements

Final Report From ESMA Represents A Significant Clarification Of The Expectations Of Firms. This . Client Alert. Sets Out Latham's Current View Of A Typical Target Market Categorisation In Addition To Some Of The Key Points From The Report: • Target Market Categories • Key Points For Manufacturers • Key Points For Distributors ... May 15th, 2024

MiFID II Compliance Function And Complaints Handling

In Its Final Report In December 2014, ESMA Integrated These New Requirements For The Compliance Function Into Its Technical Advice Alongside The Existing Provisions Of The MiFID Implementing Directive As Described Above. ESMA's Final Report Also Clarified The Meaning Of The New Requirement That Firm Maintain A "permanent" Compliance Function. Jan 13th, 2024

MiFID II Directive And The Markets In Financial ...

The Final Report Details ESMA's Final Proposals In Relation To 28 Draft Technical Standards And Attaches Those Draft Technical Standards In An Annex. The Final Report Also Discusses The Feedback Received By ESMA And ESMA's Rationale In Making The Final Proposals And Includes A Cost-benefit Analysis. Feb 22th, 2024

MiFID II Hot Topic - PwC

On 2 June 2017, ESMA Published Its Final Report On Its Guidelines On Product Governance To Safeguard Investors' Interests. In Detail Five Months After The Public Consultation Period Closed, ESMA Finally Published Its Final Report On Its Guidelines Regarding Product Governance Requirements. They Were Much Feb 11th, 2024

MiFID II: Suitability And Appropriateness

Investment Clients. Examples Are Advisers, Heads Of Private And Retail Banking, Product Managers, Compliance Officers, Etc. This Document Consists Of Five Chapters With Chapter 2 Defining The Framework By Describing The Most Important Points Of MiFID II/ MiFIR In The Field Of 'suitability' And 'appropriateness'. May 4th,

Chapter 9A Suitability (MiFID And Insurance-based ...

The Suitability Of Insurance-based Investment Products In Accordance With [COBS 9A.2.1Rand COBS 9A.2.16R]. Insurance Intermediaries And Insurance Undertakings Shall Inform Customers, Clearly And Simply, That The Reason For Assessing Suitability Is To Enable Them To Act In The Customer's Best Interest. [Note:article 13 Of TheIDD Regulation] Feb 22th, 2024

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2. Expérience Pratique Avec MiFID II : MiFID II étant Applicable Depuis Plus D'une Année. Un Premier Constat Est à Effectuer: Quelle Est La Situation Dans Le Marché ? Quelles Sont Les Expériences Dans L'industrie ? Quelles Sont Les Leçons à En Tirer P May 7th, 2024

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The Assessment (where Applicable) Of Suitability And Appropriateness Based On The Financial Sophistication And Experience Of The Potential End Investor, As Well As Any Local Regulatory ... The Identification Of A "more Protected" Feb 16th, 2024

The Top Five MiFID II Challenges Your OMS Or EMS ... - TORA

TORA's Order, Execution, Portfolio, Risk, Reporting And Post-trade Management Solution Is Delivered As A SaaS Offering, That Is Easily Installed, Maintained And Updated Every Month, With Approximately 500 New Fe May 14th, 2024

Mifid II Market Makers Scheme Details - The ICE

2. A MiFID II Market Maker Trading On The IFEU Exchange In The Manner Described In Paragraph 1 Above, Shall Be Known As A MiFID II Market Making Scheme Participant, Under This Annexe. 3. A MiFID II Market Making Scheme Participant Has The Opportunity To Access The Following Incentives, S Feb 2th, 2024

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